

## **Acuity Partners LLC Privacy Policy**

The privacy of your information is something that Acuity Partners LLC takes very seriously. Our relationship with you is our most valuable asset. That's why we have instituted various physical, electronic, and procedural safeguards that comply with federal and industry standards to protect your non-public, personal information.

For example, access to your personal and account information is restricted to only those Acuity Partner employees who need to utilize it in order to provide products and/or services to you. Our employees are bound by a code of ethics requiring confidential treatment of client information and are subject to disciplinary action if they fail to follow the code.

We do not sell our customers' information to any third parties, nor do we disclose client information to any parties that are unaffiliated with Acuity Partners LLC, except as otherwise required by law. There may be instances where we may need to share your information with regulatory agencies, federal, state or local authorities, or credit reporting agencies; however, these entities are required by law or by contractual obligation to maintain the confidentiality of your private information.

### **IN THE COURSE OF BUSINESS, WE MAY COLLECT CERTAIN PERSONAL INFORMATION INCLUDING:**

Information you supply on applications and/or related forms (name, social security number, assets, income, tax bracket, etc.) Information about your transactions with us and others. This includes items such as your account balance, payments, withdrawals, account activity and correspondence with you Information we receive from a consumer reporting agency. These reports are used to verify your information, for general risk management decisions, or for any other legitimate business purpose.

We may provide the above information to unaffiliated third parties when necessary to facilitate our business relationship with you. These unaffiliated parties may include certain service providers such as our clearing agent, and the companies that print your account statements and/or maintain your information in electronic form so that you can view your account on-line, and those who provide support to money market clients. Additional financial service providers may include financial advisors, money managers, insurance agents, money funds or mutual funds, depending on your participation in these types of investments or services.

If you prefer that we do not disclose non-public personal information about you to non-affiliated third parties, you may opt out of these disclosures, that is, you may direct us not to make these disclosures (other than disclosures permitted by law). If you wish to opt out of disclosures to non-affiliated third parties, you may call our office at (646) 416-6480 or submit a written request to opt out.

If you choose to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice. Should you have additional questions about our policy, please speak directly with your account representative.

For more information about our investment banking services at Acuity Partners, please contact us at [info@acuitypartnersnyc.com](mailto:info@acuitypartnersnyc.com).